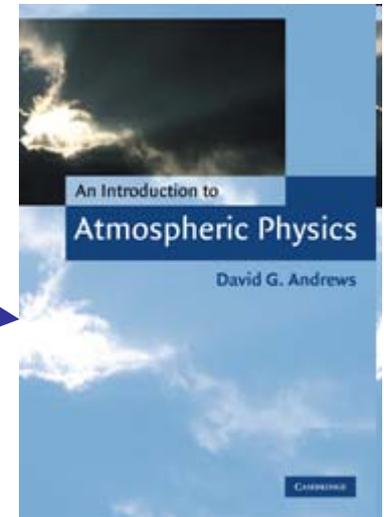


# Climate Change: some basic physical concepts and simple models

David Andrews

Some of you have used my textbook 'An Introduction to Atmospheric Physics' (IAP)



I am now preparing a 2<sup>nd</sup> edition.

The main difference will be a new chapter on [Climate Physics](#).

This lecture will cover some of the new material.

# Outline

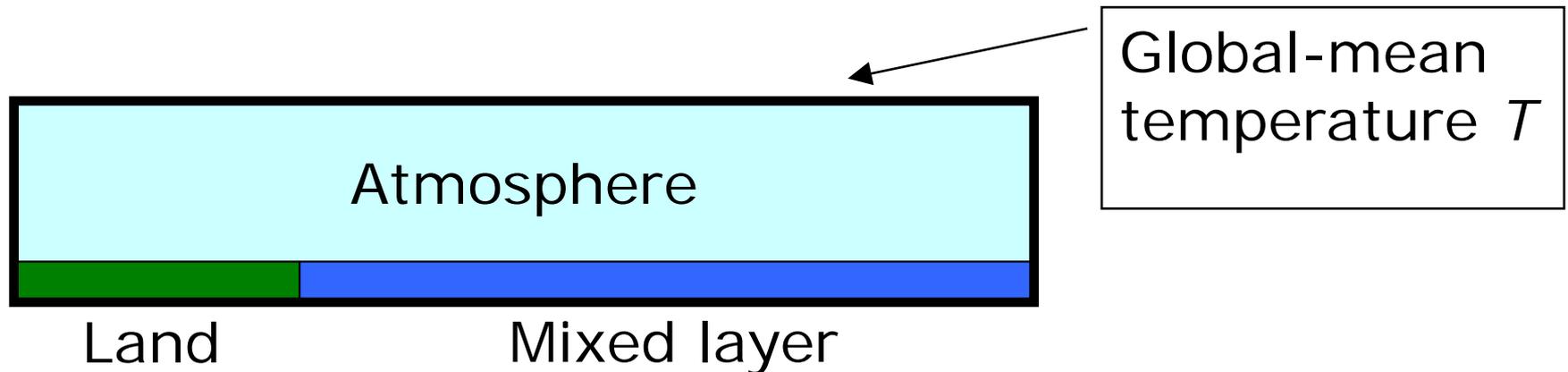
- The physics of climate change is a **very** complex subject!
- However, many of the most important ideas can be described using **very simple models**. ('Toy models'.)
- An example is the **Energy Balance Model (EBM)**.

# I shall focus on:

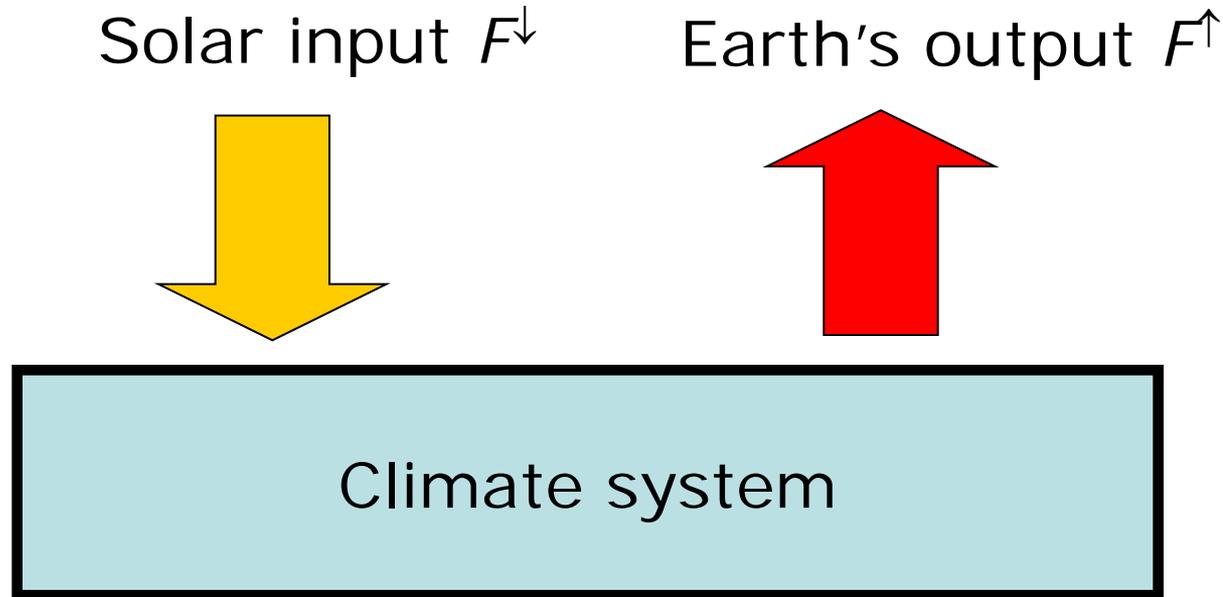
- Response of EBM to radiative forcing
  - Several examples
  - CO<sub>2</sub> stabilisation
  - Clarification of Andrews and Allen figure from previous lecture
- Introduction to climate feedbacks  
[if there is time]

# Energy Balance Model

- Simplest case: the 'climate system' includes the atmosphere, land surface and 'mixed layer' (= top 100m of ocean), but **not deep ocean**:



# Radiative power input and output (per unit area)



- Solar input  $F^\downarrow$  : 'short-wave' radiation (visible, ultra-violet), wavelength  $\leq 4 \mu\text{m}$
- Earth's output  $F^\uparrow$  : 'long-wave' radiation (infra-red), also called 'thermal' radiation, wavelength  $\geq 4 \mu\text{m}$ .

# In equilibrium:

Solar input = Earth's output

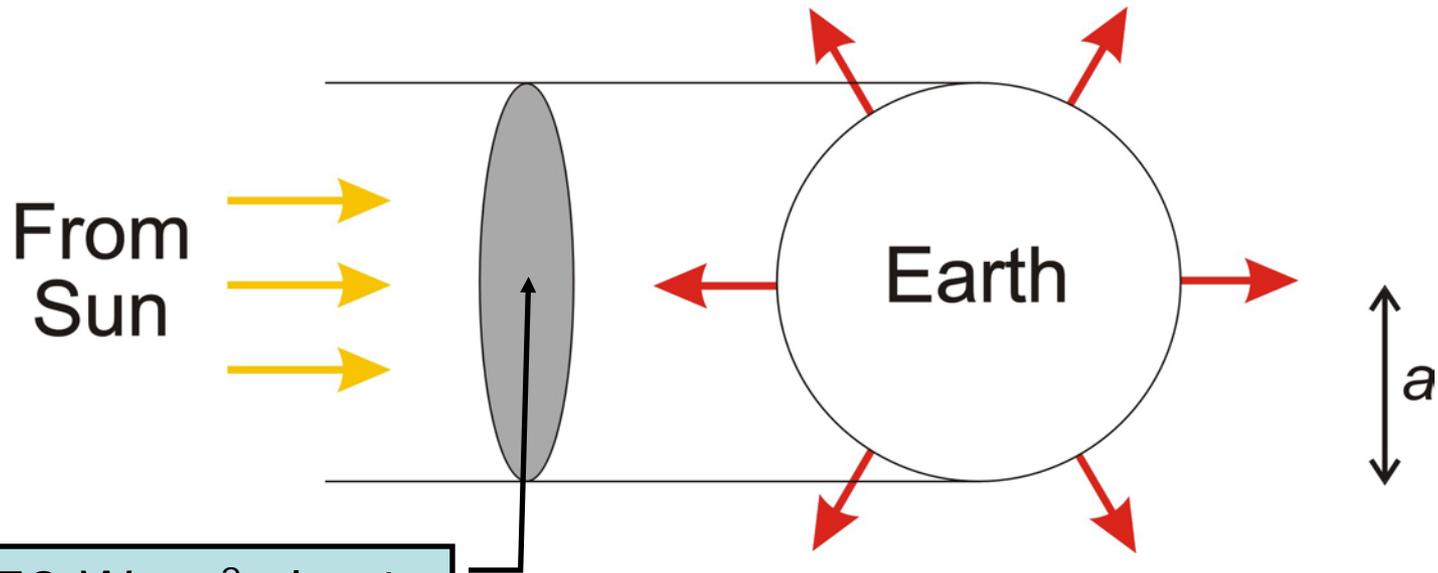
# Out of equilibrium:

Solar input  $\neq$  Earth's  
output



Climate change

# Equilibrium climate: simple models



~  $1370 \text{ W m}^{-2}$  short-wave radiation from Sun, of which ~ 30% is reflected

[See IAP, 1.3.1]

- Earth intercepts solar beam over its cross-sectional area,  $\pi a^2$
- So incident power =  $1370 \times 0.7 \times \pi a^2$  Watts (short wave).
- But it emits (long-wave) power from *all* its surface,  $4\pi a^2$ .

- *Assume* Earth acts like a **black body** at (absolute) temperature  $T$ , so that it emits **power  $\sigma T^4$  per unit area**, where

$$\sigma = 5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$$

is the Stefan-Boltzmann constant.

So in equilibrium,

incoming power = outgoing power:

$$1370 \times 0.7 \times \pi a^2 = 4\pi a^2 \times \sigma T^4.$$

Cancel  $\pi a^2$  and rearrange:

$$T = \left( \frac{1370 \times 0.7}{4\sigma} \right)^{1/4} \approx 255 \text{ K.}$$

[Note for later use:  $F^\uparrow = \sigma T^4 \approx 240 \text{ W m}^{-2}$ .]

- This temperature (255 K) is much lower than typical observed global-mean  $T \sim 290$  K!
- So this model misses some important physics, especially the effects of *greenhouse gases*.

# Greenhouse gases

- Are gases that **absorb and emit infra-red radiation** but allow solar radiation to pass through without significant absorption. They affect  $F^\uparrow$  but not  $F^\downarrow$ .
- Examples: Water vapour ( $\text{H}_2\text{O}$ ) and carbon dioxide ( $\text{CO}_2$ ) are the two most important in determining the **current** climate.
- Increasing  $\text{CO}_2$  is a major contributor to **climate change**.
- Effect of  $\text{H}_2\text{O}$  in climate **change** is more complex.

- Very simple model of effect of greenhouse gases in producing current climate: see IAP, 1.3.2.
- In this lecture I shall mostly focus on climate change.

# Consider unit area of climate system

Let heat capacity per unit area =  $C$  (mostly in mixed layer). Then

$$C \frac{dT}{dt} = F^{\downarrow} - F^{\uparrow} .$$

Assume net heat flux into system

$$F^{\downarrow} - F^{\uparrow} = Q(T, U)$$

where  $T$  = global-mean temperature and  
 $U$  = concentration of some greenhouse gas.

# Steady-state (equilibrium) climate

In a steady-state climate at constant temperature  $T = T_0$  and concentration  $U = U_0$ , we have  $CdT/dt = 0$

$$\Rightarrow Q(T_0, U_0) = 0$$

i.e. net heat flux into system is zero.

# Perturbed climate

Perturb steady state, so

$$T = T_0 + T'(t), \quad U = U_0 + U'(t),$$

where  $T'$  and  $U'$  are small,  $\Rightarrow$

$$C \frac{dT'}{dt} = Q(T_0 + T', U_0 + U')$$

Taylor expansion  $\approx \frac{\partial Q}{\partial T} T' + \frac{\partial Q}{\partial U} U'$

# Radiative forcing

Define *radiative forcing* by

$$F = \frac{\partial Q}{\partial U} U'$$

Example:  $U'$  might be an increase in CO<sub>2</sub> concentration

# Climate feedback

Define by  $\lambda = -\frac{\partial Q}{\partial T}$

Examples:

- 'Black body feedback': warmer Earth emits more long-wave radiation,  
 $\lambda > 0$ , negative feedback
- Water-vapour feedback: warmer atmosphere contains more water vapour, traps more long-wave radiation,  
 $\lambda < 0$ , positive feedback.

# Equation for EBM

So we get

Radiative forcing

$$C \frac{dT'}{dt} + \lambda T' = F(t)$$

Heat capacity

Feedback

Rate of change of heat content of climate system

# Solution of EBM for some specified time-dependent radiative forcings $F(t)$

$$C \frac{dT'}{dt} + \lambda T' = F(t) \quad (*)$$

$F(t)$  could represent, say, radiative forcing due to an increase of greenhouse gases such as  $\text{CO}_2$ .

# General solution

Define *feedback response time*

$$\tau = C/\lambda .$$

To solve equation (\*), divide by  $C$  and multiply by the integrating factor  $\exp(t/\tau)$  to get

$$\frac{d}{dt} \left( T' e^{t/\tau} \right) = \frac{F(t)}{C} e^{t/\tau} .$$

Assume  $T' = 0$  at an initial time  $t = 0$ , and integrate (\*) to obtain formal solution

$$T'(t) = \frac{e^{-t/\tau}}{C} \int_0^t F(u) e^{u/\tau} du .$$

# Some examples of $F(t)$

## (a) Step-function forcing



$$F(t) = 0 \quad \text{for } t \leq 0, \quad = F_1 \quad \text{for } t > 0,$$

where  $F_1$  is a constant. Then temperature response is given by

$$T'(t) = S \left( 1 - e^{-t/\tau} \right) \quad \text{for } t > 0,$$

where

$$S = \frac{F_1}{\lambda}$$

is called the *equilibrium climate sensitivity*

# Equilibrium climate sensitivity

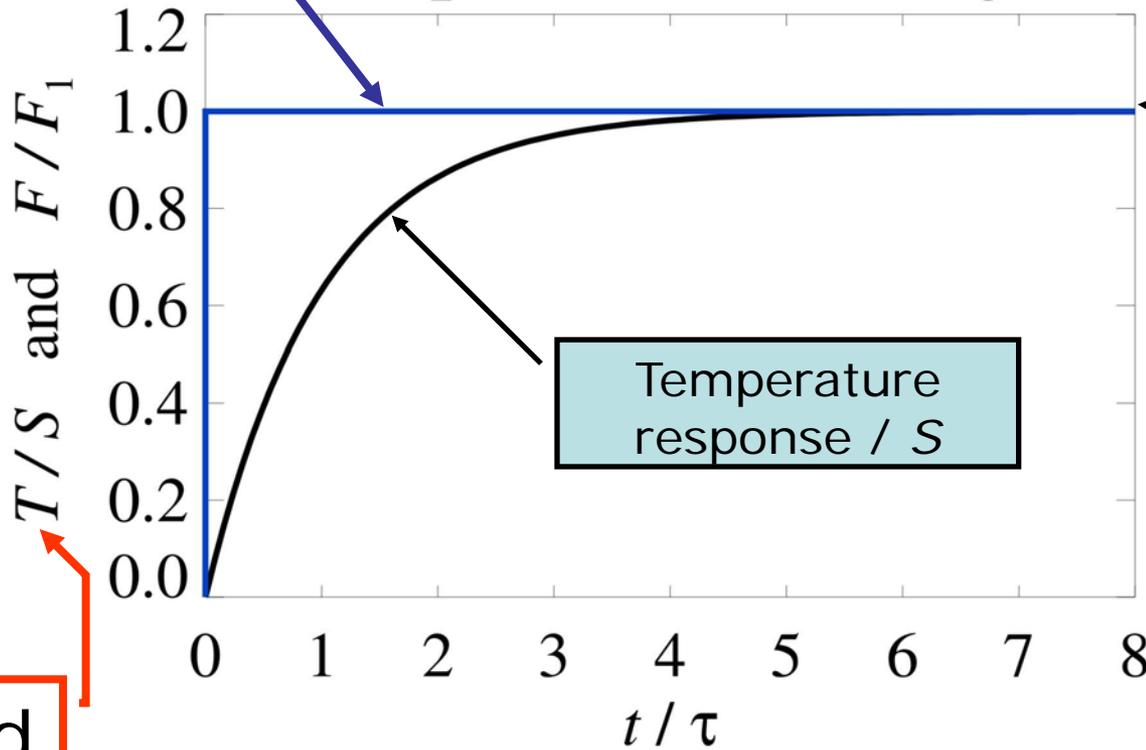
The equilibrium climate sensitivity  $S$  is the solution to EBM equation (\*) when  $CdT'/dt$  is negligible, i.e. long-term steady-state solution:

$$T' = S \equiv \frac{F_1}{\lambda} .$$

Solution to EBM equation (\*)  
for step function forcing

$F(t)$

Step function forcing



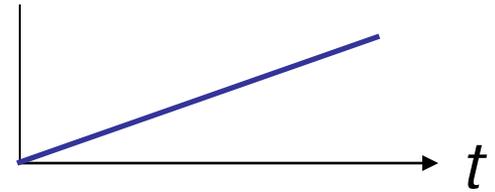
$T'$  approaches  $S$  as  $t \rightarrow \infty$

Temperature response /  $S$

Read as  $T'$

Time / feedback response time  $\longrightarrow$

## (b) Ramp forcing



Radiative forcing **increases linearly with time:**

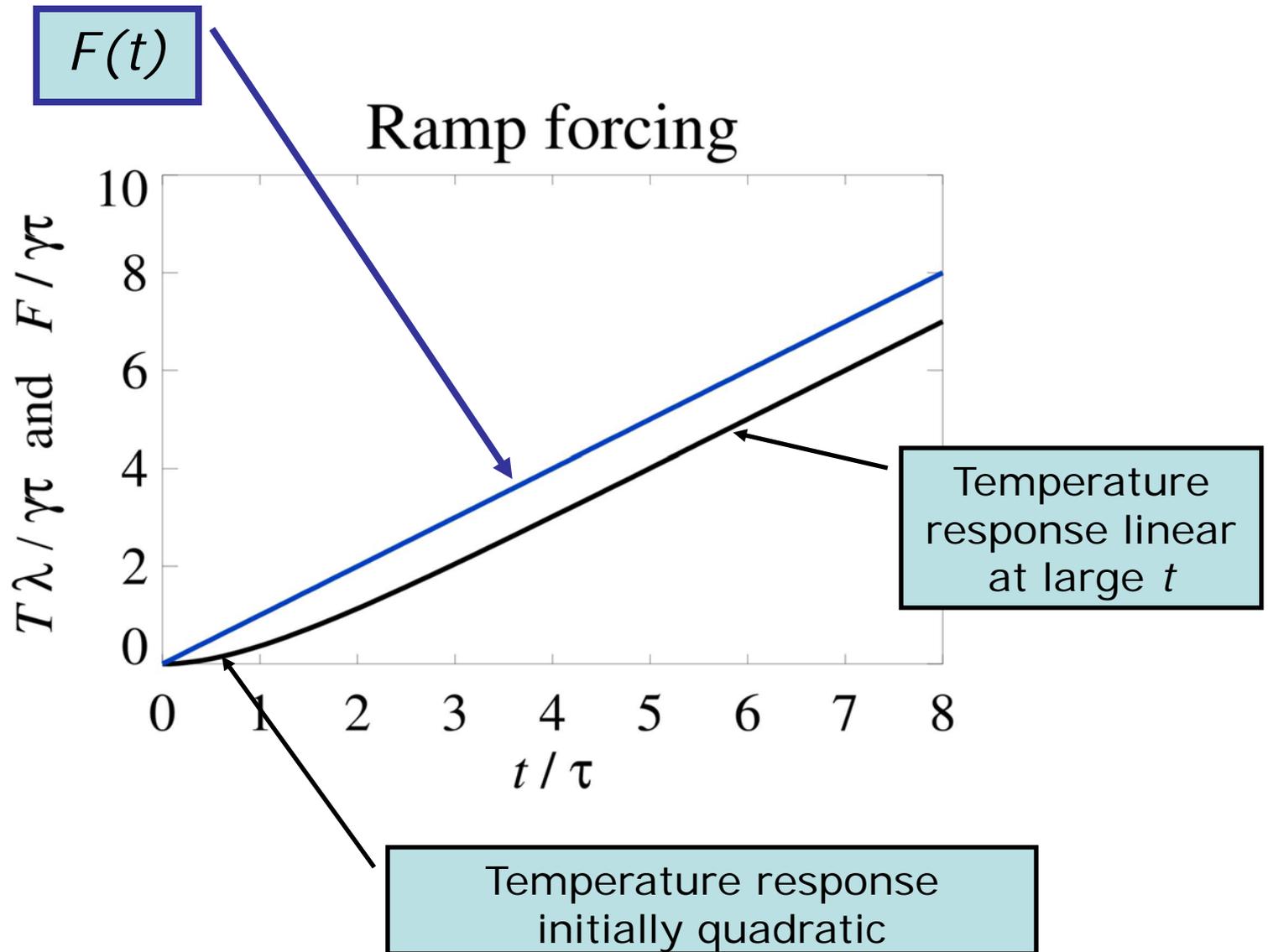
$$F(t) = 0 \quad \text{for } t \leq 0, \quad = \gamma t \quad \text{for } t > 0,$$

where  $\gamma$  is constant. Good representation of the radiative forcing due to increasing CO<sub>2</sub>.

Solution is

$$T'(t) = \frac{\gamma\tau}{\lambda} \left( \frac{t}{\tau} - 1 + e^{-t/\tau} \right) \quad \text{for } t > 0.$$

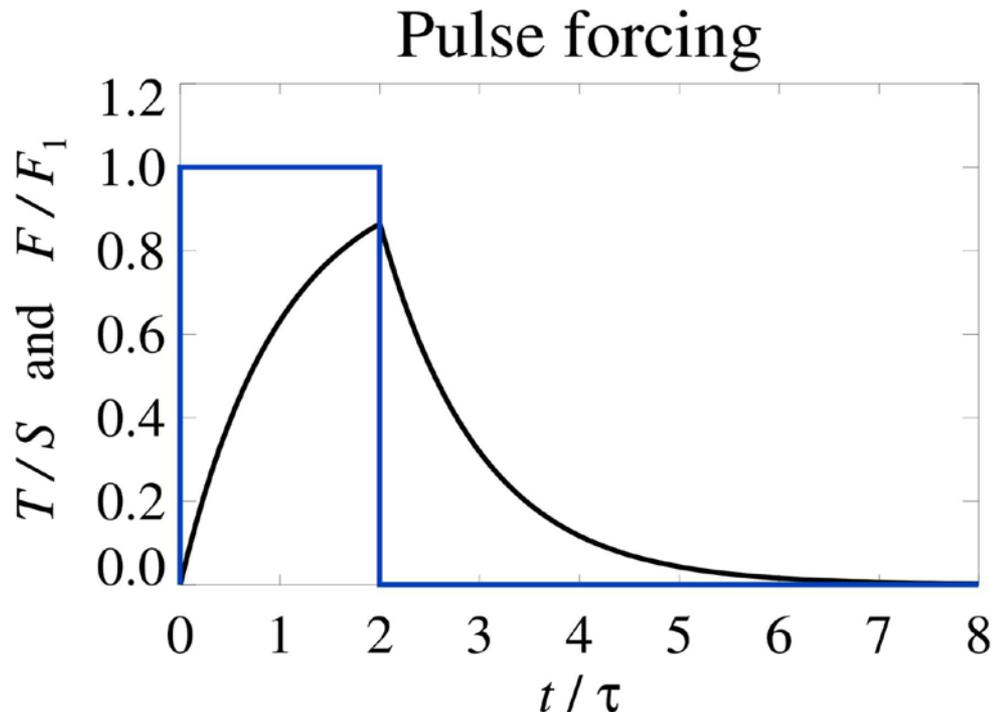
(Exercise for student!)



## (c) Pulse forcing

$$\begin{aligned} F(t) &= 0 \quad \text{for } t \leq 0 \quad \text{and} \quad t \geq t_0, \\ &= F_1 \quad \text{for } 0 < t < t_0. \end{aligned}$$

Example: massive volcanic eruption ( $F_1 < 0$ ).



## (d) Sinusoidal forcing

$$F(t) = F_2 \cos(\omega t)$$

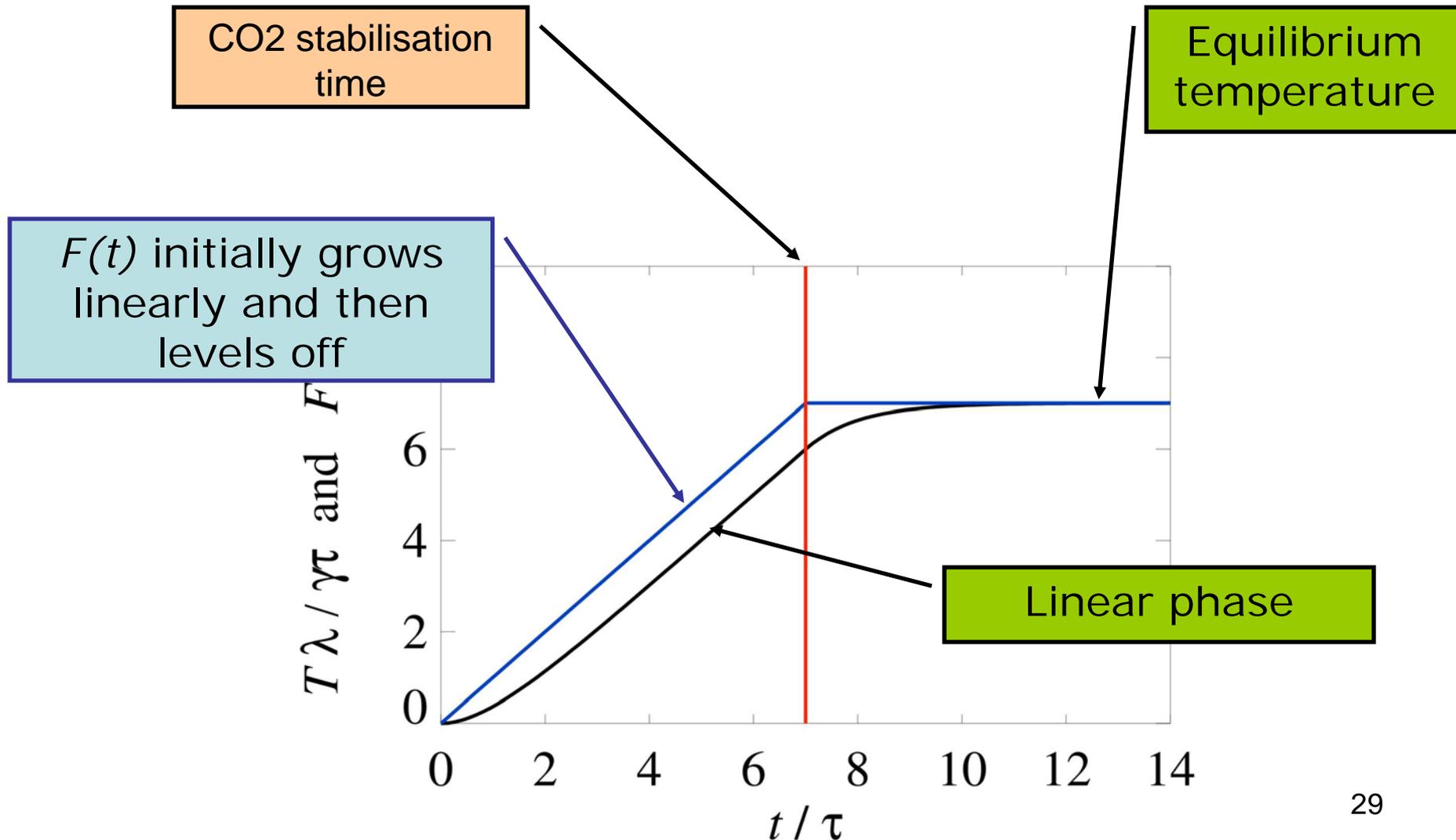
for all  $t$ , where  $F_2$  is constant; seek a purely oscillatory response.

Example: forcing by the 11-year solar cycle.

(This is similar to an AC electric circuit calculation.)

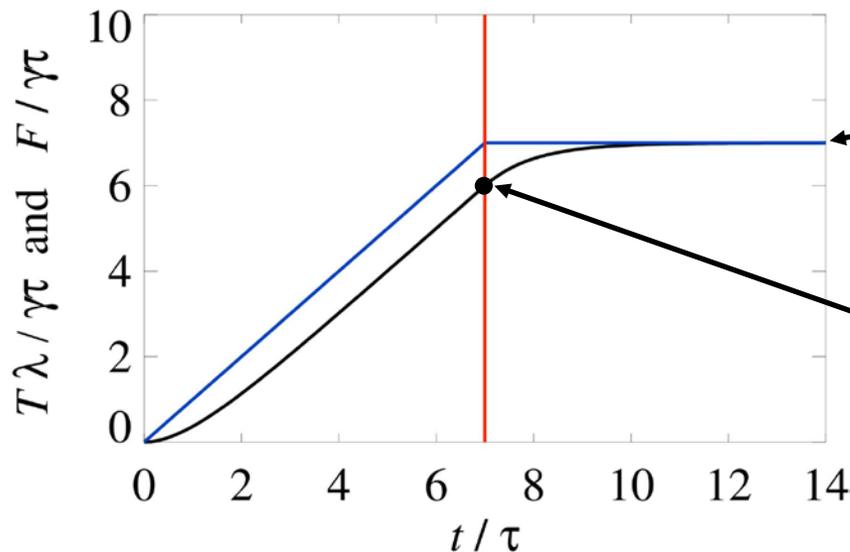
# (e) Ramp followed by steady forcing

Relevant to “CO<sub>2</sub> stabilisation” scenario?



# What can this model tell us about how the climate might respond to CO<sub>2</sub> stabilisation?

- Can we forecast the equilibrium temperature, given information at some earlier time?



e.g. predict this temperature...

... given this?  
(This temperature is called the *transient climate response, TCR.*)

- This is easy if
  - we have a perfect model
  - we know all the parameters.
- But it is difficult to do in practice!
- Even if we believe our EBM represents the physics quite well (???), we still have to know the values of parameters  $C$  and  $\lambda$ .

# A possible approach

- Forecast (say) 100 years ahead with **very complex general circulation model**.
- Fit results for global-mean temperature to EBM over this 100 years.
- Then use EBM for forecast beyond 100 years.

- But there will be uncertainties in the fitted values of  $C$  and  $\lambda$ .

(Note: the value of the heat capacity  $C$  can't be determined "from first principles", because of likely importance of the deep ocean.)

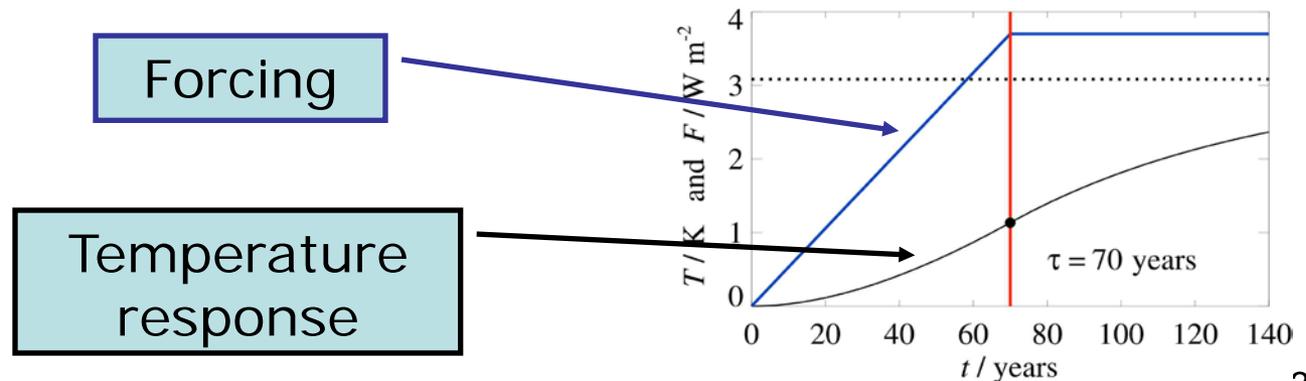
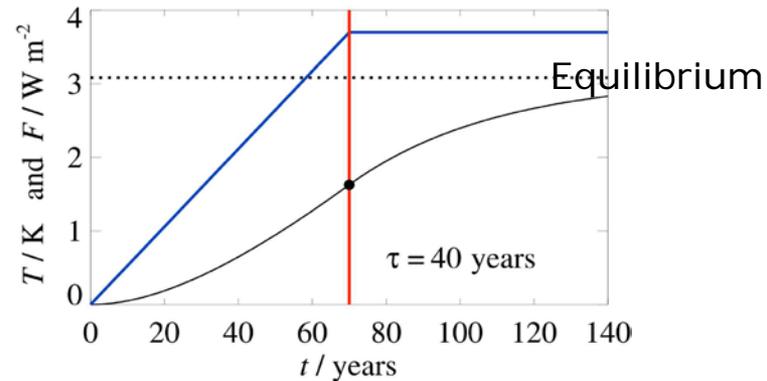
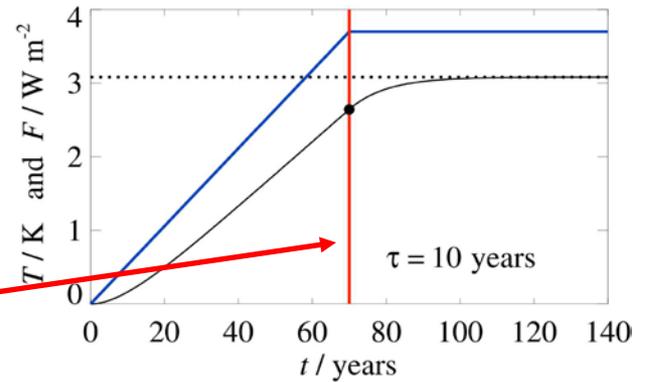
- This may make it difficult to get an accurate value for equilibrium temperature.

# Example

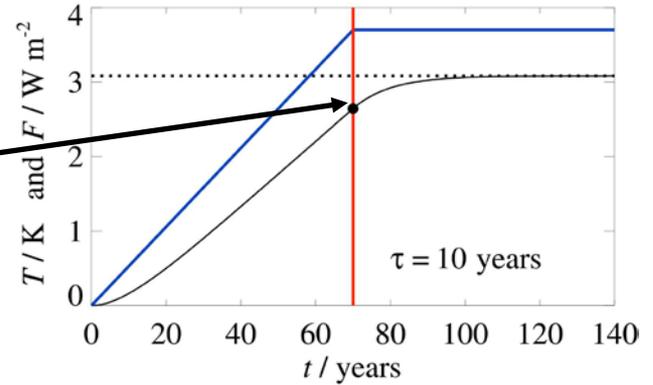
Take ramp time = 70 years.

Fix  $\lambda = 1.2 \text{ Wm}^{-2} \text{ K}^{-1}$

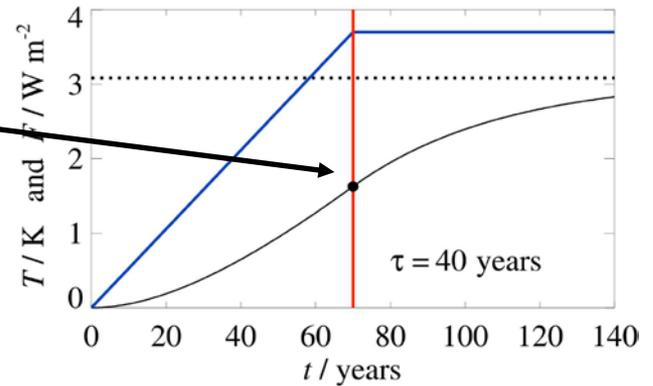
Vary  $\tau = C/\lambda$ : 10 years, 40 years, 70 years.



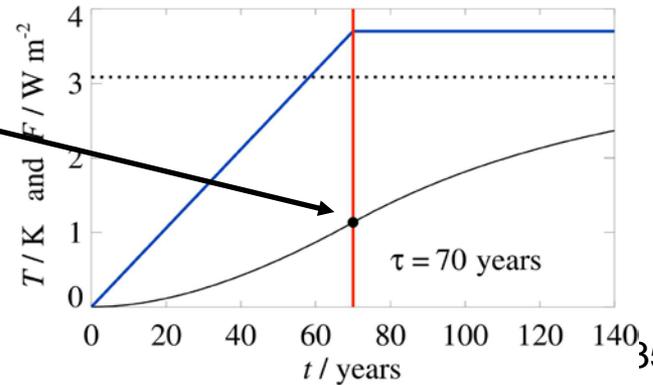
$\tau = 10$  years: 70-year  $T'$  is 88% of equilibrium value



$\tau = 40$  years: 70-year  $T'$  is 54% of equilibrium value



$\tau = 70$  years: 70-year  $T'$  is 38% of equilibrium value



- So given the temperature at 70 years, the **equilibrium** value depends strongly on the (poorly-known) value of  $C$ .
- But actually, the value of the feedback parameter  $\lambda$  is even more poorly known!

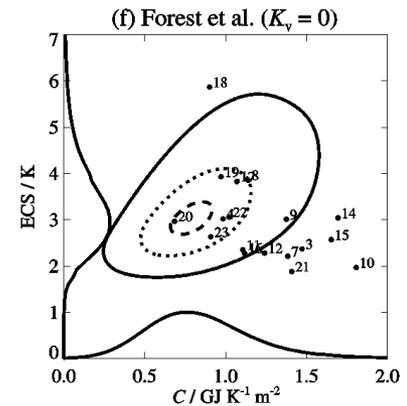
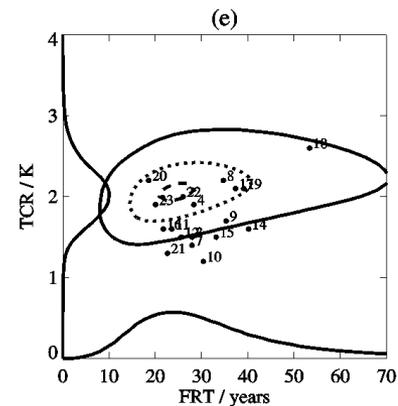
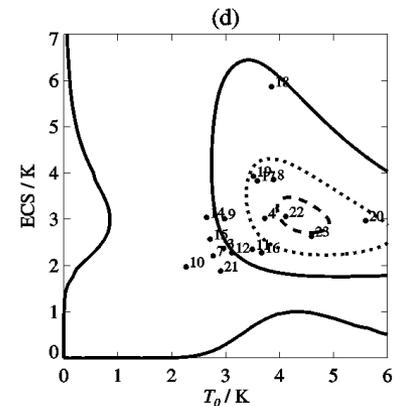
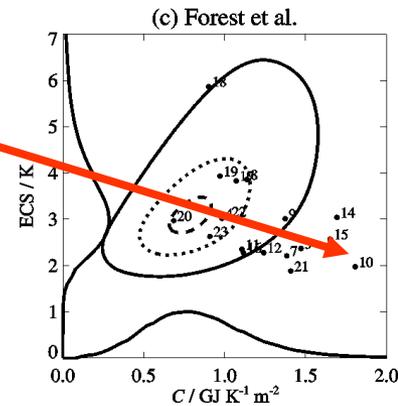
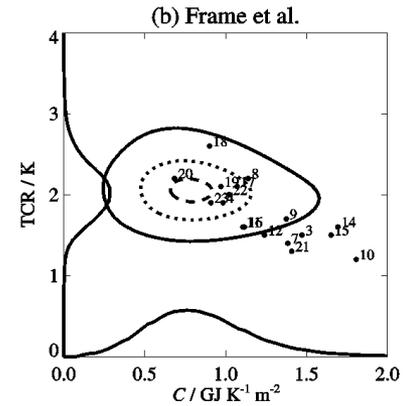
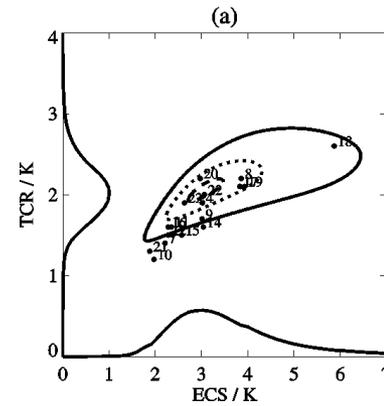
# Use of EBM to provide diagnostics for IPCC climate forecasting models

(Andrews & Allen, *Atmos. Sci. Lett.* **9**, 7-12, 2008)

Each GCM run (numbered dot) gives an estimate of parameters such as:

TCR,  
ECS =  $S$ ,  
Heat capacity =  $C$ ,  
Feedback response time =  $\tau$

These are **not all independent** of each other.

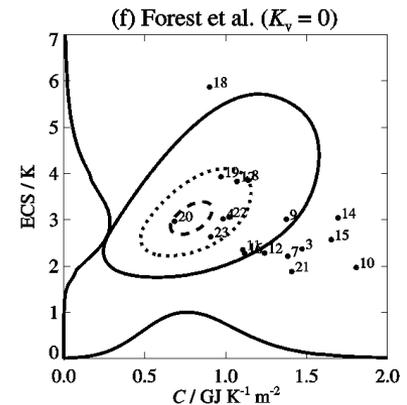
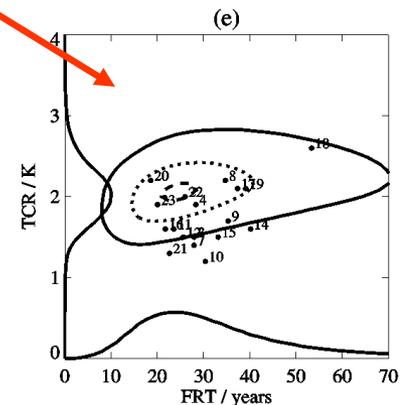
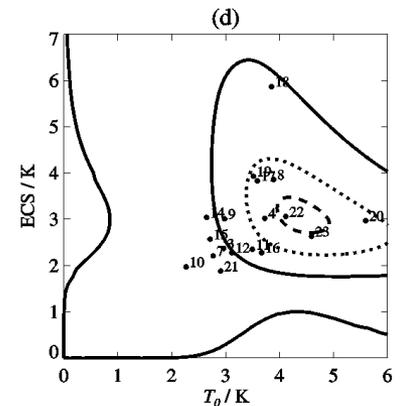
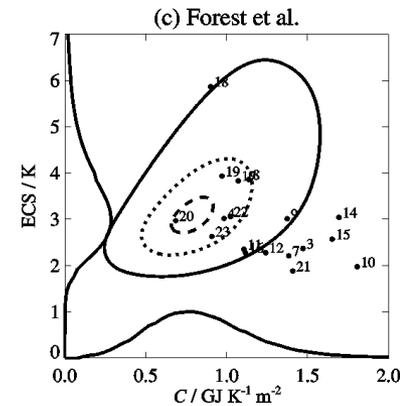
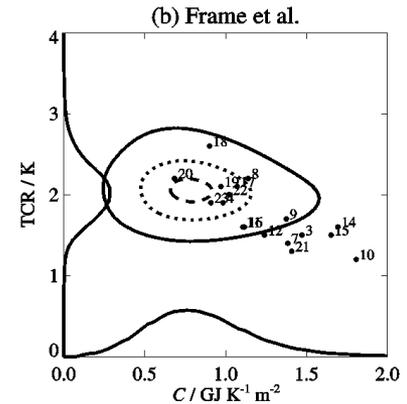
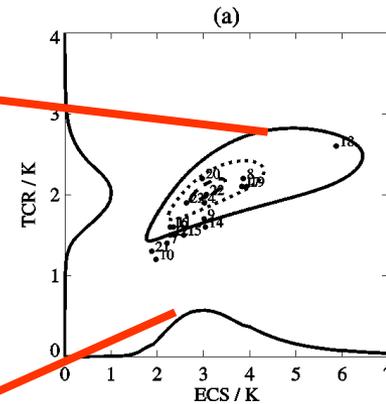


Contours: likelihood calculated for an observationally-constrained ensemble of 28,800 simple climate models

Projection of likelihood onto axis

Clearest interpretation of GCM results is in terms of **feedback response time** and **transient climate response**.

The GCMs systematically **underestimate** the TCR compared with observations



# Climate Feedbacks

Earlier I said: assume net heat flux into system

$$F^{\downarrow} - F^{\uparrow} = Q(T, U)$$

where  $T$  = global-mean temperature and  
 $U$  = concentration of some greenhouse gas

and climate feedback parameter

$$\lambda = -\frac{\partial Q}{\partial T} .$$

# Simplest case: black body feedback

Assume 'climate system' behaves like a black body:  $F^\uparrow = \sigma T^4$  where  $\sigma$  is the Stefan-Boltzmann constant.

This would be true, with  $T = 255$  K, if there were no greenhouse gases.

Assume  $F^\downarrow \approx 240 \text{ W m}^{-2}$ , independent of  $T$ .

$$\text{So } \lambda_{\text{BB}} = -\frac{\partial Q}{\partial T} = \frac{\partial F^\uparrow}{\partial T} = 4\sigma T^3 \approx 3.8 \text{ W m}^{-2} \text{ K}^{-1}.$$

This is  $> 0$ : warmer planet radiates more, giving *negative feedback*.

# Water vapour feedback

Take  $Q = Q(T, V(T))$ , where  $V(T)$  is saturation mixing ratio at the mean surface pressure.

The corresponding feedback parameter is

$$\lambda_{wv} = -\frac{\partial Q}{\partial V} \frac{dV}{dT}.$$

By Clausius-Clapeyron equation,

$$\frac{dV}{dT} = \frac{VL}{R_v T^2} > 0$$

But  $\partial Q/\partial V > 0$ , since  $F^\uparrow$  decreases as water vapour amount increases,  $\Rightarrow \lambda_{\text{wv}} < 0$ .

Calculations show that  $\lambda_{\text{total}} = \lambda_{\text{BB}} + \lambda_{\text{wv}} > 0$ , for current Earth, so overall still get negative feedback  $\Rightarrow$  stable climate.

However, if conditions gave a large enough  $-\lambda_{\text{wv}}$ , we could get  $\lambda_{\text{total}} < 0$ ,  $\Rightarrow$  positive feedback: the *runaway greenhouse effect*.

Did this happen on Venus? Could it happen on Earth??? (Probably only if temperature gets *much* hotter.)

The End